Attorney's Docket No: 05770-092001 / ASC 440

PATENT AND TRADEMARK OFFICE

Applicant: Paul Frederick Koeppe et al.

Art Unit : 2836

Serial No.: 09/449,435

Examiner: Unknown

Filed

: November 24, 1999

Title

: ELECTRIC UTILITY SYSTEM WITH SUPERCONDUCTING MAGNETIC

ENERGY STORAGE

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449, copies of which are enclosed. A copy of a communication from a foreign patent office in a counterpart application is also enclosed.

This statement is being filed before receipt of a first Office action on the merits. I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application, the

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

Date of Depos

Signature

Matthew G. Doherty

Typed or Printed Name of Person Signing Certificate

Applicant: Paul Frederick Koeppe et al.

Serial No.: 09/449,435

Filed

: November 24, 1999

Page

: 2

communication being dated December 7, 2000, which is not more than three months prior to the filing of this statement. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Attorney's Docket No.: 05770-092001 / ASC 440

k R. Ochub

Frank R. Occhiuti Reg. No. 35,306

Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804

Telephone: (617) 542-5070 Facsimile: (617) 542-8906

21-7932

20154518.doc